## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Roderick MacKinnon

SERIAL NO.:

NO YET ASSIGNED

EXAMINER: UNKNOWN.

FILED:

HEREWITH

ART UNIT:

UNKNOWN

FOR:

ASSAYS FOR SCREENING COMPOUNDS WHICH INTERACT WITH CATION

CHANNEL PROTEINS, MUTANT PROKARYOTIC CATION CHANNEL

PROTEINS, AND USES THEREOF

## **STATEMENT**

MAIL STOP PATENT APPLICATION COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

Dear Sir:

Applicants submit herewith an Information Disclosure Statement, listing references 1 through 178 on the attached Form PTO-1449.

This IDS was submitted in parent application USSN 09/275,252 March 24, 1999 with copies of all the listed references.

Applicants respectfully request that all copies of the cited references be transferred from the parent case to this continuation application filed herewith.

Respectfully submitted,

Attorney for Applicant

Reg. No. 26,742

KLAUBER & JACKSON 411 Hackensack Avenue Hackensack, New Jersey 07601

Dated:

July 3, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 018512-002901

Bugiasky, Gabriele E.

1653

SUPPLEMENTAL INFORMATION

**DISCLOSURE STATEMENT UNDER 37** 

Assistant Commissioner for Patents Washington, D.C. 20231

October 29, 200/

TOWNSEND and TOWNSEND and CREW LLP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Art Unit:

CFR §1.97 and §1.98

In re application of:

MacKINNON, Roderick

Application No.: 09/275,252

Filed: March 24, 1999

For: ASSAYS FOR SCREENING COMPOUNDS WHICH INTERACT WITH CATION CHANNEL PROTEINS. MUTANT PROKARYOTIC CATION CHANNEL PROTEINS, AND USES THEREOF

Washington, D.C. 20231

Assistant Commissioner for Patents

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

MacKINNON, Roderick Application No.: 09/275,252

Page 2

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed after the mailing date of the first Office Action and more than three months after the filing date, but prior to the Notice of Allowance or Final Office Action.

Please deduct \$180.00, pursuant to 37 CFR §1.17(p), from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

This Petition is submitted in triplicate.

Respectfully submitted,

J. David Smith Regy No. 39.839

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834 Tel: 415-576-0200

Fax: 415-576-0300

JDS:him

SF 1285615 v1

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Substitute	e for form 1449A/PTC	)		Complet if Known		
				Application Number	09/275,252	
INFC	RMATION	DIS	CLOSURE	Filing Date	March 24, 1999	
STA	TEMENT B	ΥΑ	PPLICANT	First Named Inventor	Roderick MacKinnon	
• • • • • • • • • • • • • • • • • • • •				Group Art Unit	1653	
	(use as many sheets as necessary)			Examiner Name	Bugiasky, Gabriele E.	
Sheet	1	of	12	Attorney Docket Number	018512-002901US	

			<u>U.S</u>	S. PATENT DOCU	MENTS	
Examiner Initials *	Cite No.1	U.S. Palent Docume  Number Kind Cod (if known	le <sup>2</sup> Name	of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	5,010,175				
	2.	5,288,514				
	3.	5,539,083				· · · · · · · · · · · · · · · · · · ·
	4.	5,593,853				·
	5.	5,549,974	_			
	6.	5,525,735				
•	7.	5,519,134				
	8.	5,506,337				
	9.	5,288,514				
	10.	4,857,453		*		
	11.	4,313,734		Leuvering		
	12.	4,373,932		Gribnau et al.,		
	13.	4,703,017		Campbell et al.,		
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				FOREIG	N PATENT DOCU	MENTS		
	Γ	Fore	ign Patent Do		Name of Patentee	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T⁵
	14.	wo	91/19735			26 Dec. 1991		
···	15.	wo	93/20242			14 Oct. 1993		
	16.	wo	92/00091			9 Jan. 1992		
	17.	US	96/10287					
····	18.	wo	88/08534		MAY et al.,			
	19.	wo	90/02545					
••••	20.	European	0,281,327					
	21.	European	0,280,559					

Examiner Signature	Date Considered	

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitu	te for form 1449A/PTC	)		C mplete if Kn wn		
			Application Number	09/275,252		
INFO	DRMATION	DISCLOSURE	Filing Date	March 24, 1999		
STA	TEMENT B'	Y APPLICANT	First Named Inventor	Roderick MacKinnon		
			Group Art Unit	1653		
	(use as many she	ets as necessary)	Examiner Name	Bugiasky, Gabriele E.		
Sheet	2	of 12	Attorney Docket Number	018512-002901US		

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	<b>22</b> .	Koyama et al., FEBS Lett, 341:303-7 (1994)	
	23.	Morishige et al., FEBS Lett, 346:251-6 (1994)	
	24.	Inagaki et al., <i>J Biol Chem</i> , 270:5691-4 (1995)	
	· <b>25</b> .	Ferrer et al., J Biol Chem, 270:26086-91 (1995)	
	26.	Ishii et al., <i>J. Biol. Chem</i> , <b>272</b> :23195-200 (1997)	
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	29.	Wang et al., <i>Proc Natl Acad Sci USA</i> , <b>95</b> :2653-8 (1998)	
	<b>3</b> 0.	Liman et al., Nature, 353:752-6 (1991)	
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	32.	Tang et al., J Gen Physiol, 109:301-11 (1997)	
	33.	Collioud et al., Bioconjugate Chem, 4:528-536 (1993)	
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Examiner	Date	
Signature	Considered	

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STA	TEMENT B	Y A	PPLICANT	First Named Inventor	Roderick MacKinnon	
				Group Art Unit	1653	
	(use as many sheets as necessary)			Examiner Name	Bugiasky, Gabriele E.	
Sheet	3	of	12	Attorney Docket Number	018512-002901US	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	37.	Ng et al., Langmuir, 11:4048-55 (1995)	
	38.	Schmitt et al., Angew. Chem. Int. Ed. Engl., 35:317-20 (1996)	
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Examiner	Date	
Signature	Considered	

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Substitute for form 1449A/PTO Complete if Known **Application Number** 09/275,252 INFORMATION DISCLOSURE Filing Date March 24, 1999 STATEMENT BY APPLICANT Roderick MacKinnon First Named Inventor 1653 Group Art Unit (use as many sheets as necessary) Bugiasky, Gabriele E. **Examiner Name** Sheet Attorney Docket Number 018512-002901US of 12

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
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	52.	Hagihara et al., <i>J. Amer. Chem. Soc.</i> , 114:6568 (1992)	
	53.	Hirschmann et al., J. Amer. Chem. Soc., 114:9217-9218 (1992)	
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	56.	Campbell et al., <i>J. Org. Chem</i> , <b>59</b> :658 (1994)	
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	60.	Ackerman et al., New Engl. J. Med., 336:1575-1595 (1997)	
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	66.	Park, J. Physiol., 481:555-570 (1994)	

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INFO	DRMATIC	on dis	CLOSURE	Filing Date	March 24, 1999
STA	STATEMENT BY APPLICANT			First Named Inventor	Roderick MacKinnon
				Group Art Unit	1653
	(use as many sheets as necessary)			Examiner Name	Bugiasky, Gabriele E.
Sheet	5	of	12	Attorney Docket Number	018512-002901US

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	67.	Kohler et al., Nature, 256:495-497 (1975)	
	68.	Kozbor et al., Immunology Today, 4:72 (1983)	
	69.	Cote et al., Proc. Natl. Acad. Sci. U.S.A., 80:2026-2030 (1983)	
	70.	Cole et al., in Monoclonal Antibodies and Cancer Therapy, Alan R. Liss, Inc., pp. 77-96 (1985)	
	71.	Morrison et al., J. Bacteriol., 159:870 (1984)	
	72.	Neuberger et al., <i>Nature</i> , <b>312</b> :604-608 (1984)	
	73.	Takeda et al., Nature, 314:452-454 (1985)	
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	78.	Wagner et al., Proc. Natl. Acad. Sci. U.S.A., 78:1441-1445 (1981)	
	79.	Brinster et al., <i>Nature</i> , <b>296</b> :39-42 (1982)	
	80.	Villa-Komaroff, et al., <i>Proc. Natl. Acad. Sci. U.S.A.</i> , 75:3727-3731 (1978)	
	81.	DeBoer et al., Proc. Natl. Acad. Sci. U.S.A., 80:21-25 (1983)	

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Substitute for form 1449A/PTO Complete if Kn wn 09/275.252 **Application Number** INFORMATION DISCLOSURE **Filing Date** March 24, 1999 STATEMENT BY APPLICANT **First Named Inventor** Roderick MacKinnon 1653 Group Art Unit (use as many sheets as necessary) Bugiasky, Gabriele E. **Examiner Name** 

Attorney Docket Number

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	82.	Gilbert et al., Scientific American, 242:74-94 (1980)	
	83.	Swift et al., Cell, 38:639-646 (1984)	
	84.	Omitz et al., Cold Spring Harbor Symp. Quant. Biol., 50:399-409 (1986)	
	85.	MacDonald, Hepatology, 7:42S-51S (1987)	
	86.	Hanahan, <i>Nature</i> , <b>315</b> :115-122 (1985)	
	87.	Grosschedl et al., Cell, 38:647-658 (1984)	
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	90.	Leder et al., Cell, 45:485-495 (1986)	
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	92.	Krumlauf et al., <i>Mol. Cell. Biol.</i> , <b>5</b> :1639-1648 (1985)	
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	96.	Kollias et al., Cell, 46:89-94 (1986)	

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				Application Number	09/275,252
INFO	DRMATION	DIS	CLOSURE	Filing Date	March 24, 1999
STA	STATEMENT BY APPLICANT			First Named Inventor	Roderick MacKinnon
				Group Art Unit	1653
	(use as many sheets as necessary)			Examiner Name	Bugiasky, Gabriele E.
Sheet	7	of	12	Attorney Docket Number	018512-002901US

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	97.	Readhead et al., Cell, 48:703-712 (1987)					
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	112.	Hagiwara et al., J. Gen. Physiol., 70:269 (1977)	
· · · ·	113.	Hille et al., J. Gen. Physiol., 72:409 (1978)	
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	115.	Armstrong et al., J. Gen. Physiol., 48:859 (1965)	
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	119.	Heginbotham et al., <i>Science</i> , <b>258</b> :1152 (1992)	
	120.	Heginbotham et al., J. Biophys., 66:1061 (1994)	
	121.	Schrempf et al., EMBO J., 14:5170 (1995)	
	122.	Heginbotham et al., Biochemistry, 36:10335 (1997)	
	123.	Cortes et al., Biochemistry, 36:10343 (1997)	
	124.	MacKinnon, <i>Nature</i> , <b>350</b> :232 (1991)	
	125.	Ketchum et al., Nature, 376:690 (1995)	
	126.	Doyle et al., Science, 280:69-77 (1998)	

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	(use as many sheets as necessary)			Examiner Name	Bugiasky, Gabriele E.
Sheet	9	of	12	Attorney Docket Number	018512-002901US

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1						
	127.	MacKinnon et al., Science, 280:106-109 (1998)					
	128.	Kleywegt et al., Structure, 5:1557 (1997)					
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	135.	Goldstein et al., Neuron, 12:1377 (1994)					
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	139.	Ranganathan et al., Neuron, 16:131 (1996)					
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	141.	Armstrong et al., J. Gen. Physiol., 59:388 (1972)					

Examiner	Date
Signature	Considered

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Substitute	e for form 1449A/PTC	)			C mplete if Kn wn
				Application Number	09/275,252
INFC	RMATION	DIS	CLOSURE	Filing Date	March 24, 1999
STA	TEMENT B	ΥA	PPLICANT	First Named Inventor	Roderick MacKinnon
				Group Art Unit	1653
	(use as many she	ets as	necessary)	Examiner Name	Bugiasky, Gabriele E.
Sheet	10	of	12	Attorney Docket Number	018512-002901US

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	142.	MacKinnon et al., Science, 250:276 (1990)				
•	143.	Yellen et al., Science, 251:939 (1991)				
	144.	Liu et al., Neuron, 19:175 (1997)				
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	Considered	
Signature	Considered	

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			•	Application Number	09/275,252
INF	FORMATION	on dis	CLOSURE	Filing Date	March 24, 1999
ST	ATEMEN <sup>1</sup>	BY A	PPLICANT	First Named Inventor	Roderick MacKinnon
				Group Art Unit	1653
(use as many sheets as necessary)				Examiner Name	Bugiasky, Gabriele E.
Shee	t 11	of	12	Attorney Docket Number	018512-002901US

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	157.	Otwinowski et al., Science and Engineering Research Council, Daresbury Laboratory, Daresbury, UK, (1993), pp. 56-62					
	158.	Collaborative Computational Project 4 (CCP4), Acta Cryst., <b>D50</b> :760 (1994)					
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	166.	Miller, <i>Neuron</i> , <b>15</b> :5 (1995)					
	167.	MacKinnon et al., J. Gen. Physiol., 91:335 (1998)	-				
	168.	Park et al., Neuron, 9:307 (1992)					
	169.	Doyle et al., Science, 280:69-77 (1998)					
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,	171.	Hidalgo et al., <i>Science</i> , <b>268</b> :307 (1995)					

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				Application Number	09/275,252
INFC	RMATION	DIS	CLOSURE	Filing Date	March 24, 1999
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(use as many sheets as necessary)				Examiner Name	Bugiasky, Gabriele E.
Sheet	12	of	12	Attorney Docket Number	018512-002901US

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Examiner Initials *	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the article		Τ²					
	172.	Garcia et al., <i>Biochemistry</i> , <b>33</b> :6834 (1994)						
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	174.	Lippens et al., Biochemistry, 34:13 (1995)						
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Signature	Considered	·

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